Grants and Contractual Compliance

Section: 2

Revision Date: 5/22/2018

Technical Assistance

Procedural Bulletin # 4

Purpose

To provide information regarding technical assistance available from the Indiana Department of Correction (IDOC).

Description

The grant funded entity is ultimately responsible for ensuring they are following the Executed Grant Contract, procedural bulletins, statutes, and administrative rules. The IDOC Community Corrections Division is readily available to provide technical assistance to any grant funded entity, including: Community Corrections, Probation departments, Jail Treatment programs, Court Recidivism Reduction Programs (CRRP), and Prosecutor Diversion programs. The assigned Program Director should be the grant funded entity's first point of contact for any grant related issues or concerns. Most questions can be answered by the entity's assigned Program Director to help alleviate issues the entity is experiencing. If the assigned Program Director is unable to answer the question, the entity may be referred to the IDOC Community Corrections Training staff, Community Transition Program staff, Research staff, the IDOC Community Corrections Assistant Director, the IDOC Community Corrections Director, or the IDOC Deputy Commissioner of Re-Entry.

Topics

Technical assistance may be provided in person, via email, or by telephone. Some examples may include:

- Use of Evidence-Based Practices and Programming
- Policy Review/Creation
- Account Set-up for Grant Funds
- Monthly Financial Reports
- Requesting an Additional Appropriation/Transfer of Funds
- Collaboration Plans
- Grant Application
- Site Audit Preparation/Audit Tool Scoring
- Executed Grant Contract Requirements

Follow up

Following a Technical Assistance visit, the IDOC Program Director or other staff conducting the visit will complete a Technical Assistance Summary Sheet (see below). This is meant to serve as documentation and will be kept on file by IDOC.

If any violations of the grant contract, procedural bulletins, statutes, or administrative rules are found by IDOC staff, a Technical Assistance Action Plan will be created (see below) by the grant entity and the assigned IDOC Program Director. The assigned Program Director will work with the grant entity to achieve compliance in any area where violations were found. In the event that the Technical Assistance Action Plan is not followed or the entity fails to meet set goals, the procedures outlined in Procedural Bulletin Section 2-5 Notification of Contract Non-Compliance will be followed.

Technical Assistance Summary Sheet

County	Date		
Entity	Program Director		
Assistance Type	Addressed at Visit		
Use of Evidence-Based Practices and Programming			
Policy Review/Creation			
Account Set-Up for Grant Funds			
Monthly Financial Reports			
Requesting Additional Appropriations/Transfer			
Collaboration Plans			
Grant Application			
Site Audit Preparation/Audit Tool Scoring			
Contract Agreement Requirements			
Assessments			
Other			

Notes:

Technical Assistance Action Plan

County	Date
Entity	Program Director

GOAL STATEMENT:

Goals/Expectations	Implementation Process	Due Date	Notes	Review Date